Approved for use through 10/31/2002. OMB 0651-0031
S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Please type a plus sign (+) inside this box -Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/001,891 **Application Number** TRANSMITTAL November 19, 2001 Filing Date **FORM First Named Inventor** Hull, Jonathan J. 2173 e used for all correspondence after initial filing) **Group Art Unit** To Be Assigned **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 015358-007400US ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form Group (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Declaration and Power of Attorney, Letter Express Abandonment Request to Official Draftsperson; Thirty-two (32) Request for Refund Formal Drawings; Supplemental Application Data Sheet; Copy of Notice of Information Disclosure Statement CD, Number of CD(s) Missing Parts; Return Postcard Return Postcard The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Document(s) Remarks Response to Missing Parts/ Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated Incomplete Application December 28, 2001, the enclosures listed above are to be made of record in the aboveidentified case. The fees required are listed on the attached Fee Transmittal to be Response to Missing deducted from our Deposit Account listed above. Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Sujit B. Kotwal Reg. No. 43,336 Individual name 5.B. Kotwal Signature February 20, 2002 Date **CERTIFICATE OF MAILING** Express Mail Label: EL 380 687 765 US

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PA 3202755 v1

February 20, 2002

Date

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

| | Complete if Known | |
|----------------------|-------------------|--|
| Application Number | 10/001,891 | |
| Filing Date | November 19, 2001 | |
| First Named Inventor | Hull, Jonathan J. | |
| Examiner Name | To Be Assigned | |
| Group Art Unit | 2173 | |
| Atternov Docket No | 015358-007400US | |

| TOTA | L AMO | UNT OF | PAYM | ENT (\$) | 1350 | Attorn | ey Docke | at No. | 0153 | 358-007400US | | |
|---|---|--------------|--------------------------|---------------------------------------|--------------------------|--|---------------------|-------------|--|--|-----------------|--|
| | | | METHOD | OF PAYMENT | | | | | FEE C | ALCULATION (continued) | | |
| 1. 🖾 | The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | | | | 3. ADDITIONAL FEES | | | | | | |
| •. – | | indica | | ind credit any o | ver payments to. | Large | Entity | Small | • | | Fee | |
| Depo | | 1 20 / | 4 4 2 0 | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Paid_ | |
| Acco Num | | 20-1 | 1430 | | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130 | |
| Depo | | | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | | |
| Acco | | Town | send and | Townsend and | Crew LLP | 139 | 130 | 139 | 130 | Non-English specification | | |
| Nam | Name | | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | | | |
| ⊠ (| Charge An Under 37 | y Addition | nal Fee Ro 6 and 1.17 | equired ' | | 112 | 920° | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| | See 37 C | FR 1.27 | mall entity | status. | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 2. | Payme | ent Enclo | sed: | | | 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| | Check | ☐ Cre | edit card | ☐ Money Order | ☐ Other | 116 | 400 | 216 | 200 | Extension for reply within second month | | |
| | | | FES CA | | | 117 | 920 | 217 | 460 | Extension for reply within third month | | |
| 1. 8 | BASIC FI | ILING FE | | LCULATION | - | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | |
| Large | Entity | | Entity | | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | |
| Fee | | | | ee Description | | 119 | 320 | 219 | 160 | Notice of Appeal | | |
| Code | , | | (\$) | | Fee Paid | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | |
| 101 | | | | Jtility filing fee | 740 | 121 | 280 | 221 | 140 | Request for oral hearing | | |
| 106 107 | | | | Design filing fee Plant filing fee | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| 108 | 740 | 208 | 370 R | Reissue filing fee | e | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| 114 | 160 | 214 . | 80 P | Provisional filing | fee | 141 | 1,280 | 241 | 640 | Petition to revive – unintentional | | |
| | | | | | | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | | |
| | | SU | JBTOTAL | (1) | (\$)740 | 143 | 460 | 243 | 230 | Design issue fee | | |
| 2. EXT | RA CLAIN | M FEES | | | | 144 | 620 | 244 | 310 | Plant issue fee | | |
| | | // · • • • | E | xtra Fe | e from Fee | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| Total Clain | ns 28 | -20** | | | low Paid 518 = \$144 | 123 | 50 | 123 | 50 | Petitions related to provisional applications | | |
| Independer Claims | nt 7 | -3** | = 4 | ×s | \$84 = \$336 | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | |
| Multiple Dependent | | | - | × [| = | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | |
| Large Fee | Entity Fee | Small Fee | Entity Fee | | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| Code | (\$) | Code | (\$) | Fee Descript | ion | 149 | 740 | 249 | 370 | For each additional invention to be | \Box | |
| 103 | 18 | 203 | 9 | Claims in exc | | į . | | | | examined (37 CFR § 1.129(b)) | | |
| 102 | 84 | 202 | 42 | • | claims in excess of 3 | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | 1 | |
| 104 | 280 | 204 | 140 | | ndent claim, if not paid | 169 | 900 | 169 | | Request for expedited examination | $\vdash \vdash$ | |
| 109 | 84 | 209 | 42 | original paten | | 1 | | | 900 | of a design application | <u> </u> | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | | | | | | | Other fee (specify) | | | | | |
| | | | | | | The Commissioner is authorized to charge any additional fees to the above noted Deposit Account. | | | | | | |
| **or num | | ··-bennid i | if amotor (| For Balancia an | - share | *Reduc | ced by Ba | sic Filin | g Fee Pa | aid SUBTOTAL (3) (\$) 130 | | |
| or num | oer previoi | usiy pald, i | il greater; r | For Reissues, se | e above | 1 | | | | · · · · · · · · · · · · · · · · · · · | | |

| SUBMITTED BY | | Complete (if applicable) | | | |
|-------------------|----------------------|-----------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | Sujit B. Kotwal | Registration No. (Attorney/Agent) | 43,336 | Telephone | 650-326-2400 |
| Signature | ignature S.B. Kotwal | | | | February 20, 2002 |

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

COMMISSIONER FOR PATENTS

10/001,891

11/30/2001

Jonathan J. Hull

015358-007400US

Date Mailed: 12/28/2001

CONFIRMATION NO. 1067

FORMALITIES LETTER

OC000000007239429

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER

EIGHTH FLOOR

FRANCISCO, CA 94111-3834

FEB 2 0 2002

IOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/01/2002 SMINASS1 00000026 201430

FILED UNDER 37 CFR 1.53(b)

740.00 CH 02 FC:103 144.00 CH 03 FC:102

04 FC:105

336.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$480.
 - \$144 for 8 total claims over 20..
 - \$336 for 4 independent claims over 3.
- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1350.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE